

## Business update

# SIX Telekurs and Compliance Science Offer Expanded Risk Measurement Data to Compliance Departments

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**Stamford, CT/USA – To help ensure the broadest coverage and highest quality insider trading surveillance services, Compliance Science has integrated additional security issue and issuer data from SIX Telekurs into its Personal Trading Control Center™ - PTCC™.**

Policing insider trading within investment firms is a multi-layered process that demands real-time information and decision making. Compliance Science delivers tools that combine a front end for entering proposed employee transactions with an engine that validates these trades against the firm's defined rules and current trading blotter.

Firms may have focused their surveillance efforts on the equity markets in the past, but it has become clear that all types of securities can be problematic. To meet this growing requirement, Compliance Science has increased the breadth of its equity and derivatives database to cover corporate bonds and related fixed income securities. "Helping our clients manage compliance risk has never been more critical, and a major part of analysing those risks is the scope of data we provide," said Mitchel Kraskin, Managing Director of Compliance Science. "We knew that the team at SIX Telekurs could easily expand upon the millions of equities and derivatives we already tracked to include the fixed income securities that our clients need. And because our algorithms were designed from the start to find obvious and non-obvious problems regardless of the issuer/security type, bringing in these new security types was not a long, drawn out process. With access to the global SIX Telekurs data set, our clients can instantly be alerted to anomalies regardless of exchange, asset class, security type, or jurisdiction."

"Obviously, the effectiveness of a compliance solution depends in large part on the data used to fuel the analysis engine", said Barry Raskin, President of SIX Telekurs USA. "With the SIX Telekurs data, Compliance Science not only has access to the full breadth and depth of our global securities setup information, they also have access to the instrument/issuer linkages that we have built in. This gives Compliance Science and its customers a tremendous advantage in pre-trade compliance assessment."

SIX Telekurs provides Compliance Science with an incredibly robust source of security master data, with a database that spans more than five million global

securities covering nearly every asset class. Because the SIX Telekurs data is completely encoded and intelligently linked, Compliance Science is assured of getting a complete picture of the individual securities as well as the issuer, trading place and other characteristics that factor into the analysis.

As evidence of the market's appetite for adding fixed income data into compliance surveillance solutions, Compliance Science has begun delivery of this data to numerous clients within just days of its availability.

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**SIX Telekurs**

As a leader in its field, SIX Telekurs specializes in the procurement, processing and distribution of international financial information. Financial market specialists at SIX Telekurs gather information from all the world's major trading venues – directly and in real time. The SIX Telekurs database with its structured and encoded securities administration data for more than 5 million financial instruments is unique in terms of its depth of information and data coverage. With offices in 23 countries, SIX Telekurs combines the advantages of global presence and local know-how.

SIX Telekurs is a SIX Group company. On a global scale, SIX Group offers first-rate services in the areas of securities trading, clearing and settlement, as well as financial market information and payment transactions. Further information is available at: [www.six-telekurs.com](http://www.six-telekurs.com)

**Compliance Science (CSI)**

Headquartered in New York City, CSI has been offering its distinctive products to the investment management community since 2003 with clients in the US, Europe and Asia. In addition to a suite of world class web based tools, CSI's clients are supported by a staff of attorneys, compliance professionals, and system developers who provide deep, hands on expertise across complex scenarios thereby ensuring a specialized product uniquely designed to meet the regulatory challenges they face.

The Compliance Science team understands the sense of urgency faced by our community in today's turbulent markets and serves its institutional clients with a dedication to excellence, superior service and responsiveness to their needs with a vested interest in a long term business relationship. Together we strive to overcome the risk of an uncertain future with a level of competency and certainty.

Personal Trading Control Center (PTCC) is one of the services and solutions offered by CSI. PTCC is a software solution that allows firms to blacklist and effectively track securities that employees are not allowed to own or trade. PTCC provides complete Code of Ethics, 204 a-1, & 17j-1 surveillance and can significantly reduce operating costs when compared to traditional solutions. Further information is available at: [www.complysci.com](http://www.complysci.com)